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UNITED STATES DISTRICT COURT

for the

Southern District of New York

\_\_\_\_\_  
Securities and Exchange Commission

*Plaintiff*

v.

\_\_\_\_\_  
Stephen B. Pence

*Defendant*

)  
)  
)  
)  
)  
)

Case No. 15-cv-7077 (GBD) (GWG)

**APPEARANCE OF COUNSEL**

To: The clerk of court and all parties of record

I am admitted or otherwise authorized to practice in this court, and I appear in this case as counsel for:

\_\_\_\_\_  
Securities and Exchange Commission

Date: 09/14/2015

\_\_\_\_\_  
s/ Nicholas A. Pilgrim

*Attorney's signature*

\_\_\_\_\_  
Nicholas A. Pilgrim # 5285416

*Printed name and bar number*

Securities and Exchange Commission  
New York Regional Office  
200 Vesey Street, Suite 400  
New York, NY 10281-1022

\_\_\_\_\_  
*Address*

\_\_\_\_\_  
PilgrimN@sec.gov

*E-mail address*

\_\_\_\_\_  
212-336-0924

*Telephone number*

\_\_\_\_\_  
*FAX number*